



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Joel A. KUBBY et al.

Application No.: 10/721,849

Filed: November 25, 2003

Docket No.: 108757.01

For: MONOLITHIC RECONFIGURABLE OPTICAL MULTIPLEXER SYSTEMS AND METHODS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 C.F.R. §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.



3. The reference(s) was/were cited by or submitted to the Office in parent application No. 09/986,395, filed November 18, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,

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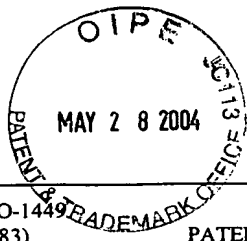
JAO:KLK/kzb

Date: February 26, 2004

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Form PTO-1449 (REV. 8-83)  US Dept. of Commerce PATENT & TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY DOCKET NO. 10875701	APPLICATION NO. 10/721,849
	APPLICANT(S) Joel A. KUBBY et al.	
	FILING DATE November 25, 2003	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	Application No. 09/467,526	12/21/1999	Kubby et al.	438	52
	Application No. 09/468,423	12/21/1999	Kubby et al.	257	415
	Application No. 09/468,141	12/21/1999	Kubby et al.	216	41
	Application No. 09/467,185	12/20/1999	Kubby et al.	356	328
	Application No. 09/467,184	12/20/1999	Kubby et al.	356	31
	Application No. 09/467,482	12/20/1999	Kubby et al.	438	22
	Application No. 09/718,017	11/20/2000	Lin	438	459
	Application No. 09/844,574	04/30/2001	Chen et al.	385	48

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED
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Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce <b>PATENT &amp; TRADEMARK OFFICE</b>		ATTY DOCKET NO. 10875701		APPLICATION NO. 10/721,849	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT(S) Joel A. KUBBY et al.			
				<b>U.S. PATENT DOCUMENTS</b>			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
		US 2001/0031113 A1	10-2001	Frish et al.	385	24	
		US 6,363,183 B1	03-2002	Koh	385	19	
		5,999,290 B1	12-1999	Li	359	127	
		6,385,362 B1	05-2002	Norwood	385	14	
		6,445,841 B1	09-2002	Gloeckner et al.	385	17	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>		
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Date: February 26, 20044

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**The following papers have been filed:**

Information Disclosure Statement and PTO-1449

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**Name of Applicant:** Joel A. KUBBY et al.

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**Serial No.:** 09/986,395

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**Atty. File No.:** 108757.01

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**Title (New Cases):**

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**Sender's Initials:** JAO:KLK/kzb

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